

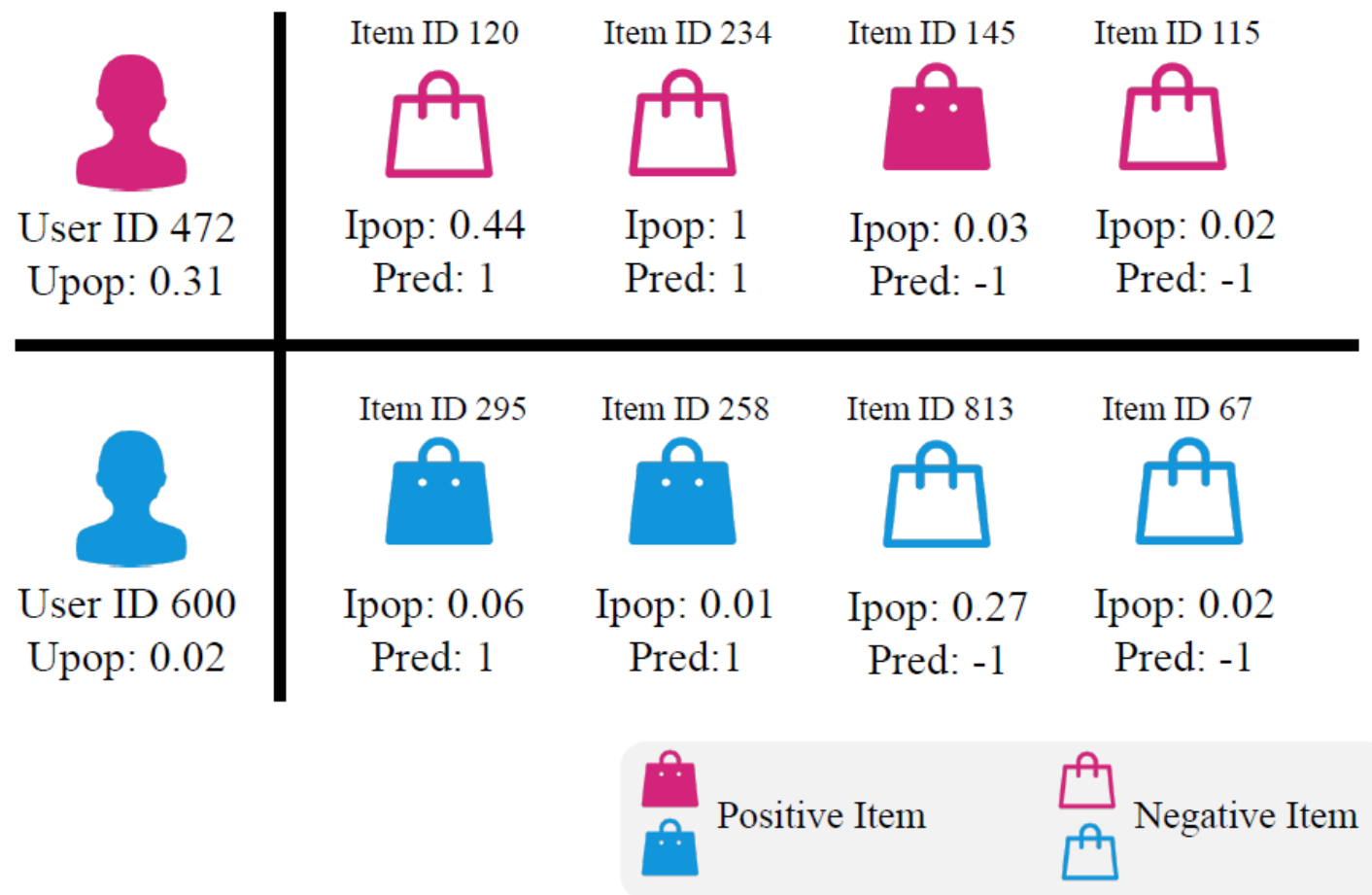
# User-Dependent Learning to Debias for Recommendation

Fangyuan Luo, Jun Wu

Beijing Jiaotong University, Beijing 100044, China













# Motivation





- Users with high Upop tend to give higher prediction scores to items with higher Ipop.
- Users with low Upop is less influenced by Ipop.

Figure 1: A case study of MF on Yahoo! R3 dataset

# Motivation

 User ID 472 Upop: 0.31	Item ID 120	Item ID 234	Item ID 145	Item ID 115
	 Ipop: 0.44 Pred: 1	 Ipop: 1 Pred: 1	 Ipop: 0.03 Pred: -1	 Ipop: 0.02 Pred: -1
 User ID 600 Upop: 0.02	Item ID 295	Item ID 258	Item ID 813	Item ID 67
	 Ipop: 0.06 Pred: 1	 Ipop: 0.01 Pred: 1	 Ipop: 0.27 Pred: -1	 Ipop: 0.02 Pred: -1

 Positive Item
  Negative Item

- Users with high Upop tend to give higher prediction scores to items with higher Ipop.
- Users with low Upop is less influenced by Ipop.



It is sub-optimal to treat all users equally and necessary to take into account users' popularity sensitivity, which has not been studied in unbiased recommender learning.

Figure 1: A case study of MF on Yahoo! R3 dataset

## Related Work



Existing methods for addressing popularity bias mainly fall into four lines.

- Inverse Propensity Scoring (IPS) [1-5]
- Causal Intervention [6-8]
- Regularization constraints [9-10]
- Reranking [11-12]

- [1] Alois Gruson, Praveen Chandar, Christophe Charbuillet, James McInerney, Samantha Hansen, Damien Tardieu, and Ben Carterette. 2019. Offline Evaluation to Make Decisions About Playlist Recommendation Algorithms. In WSDM. 420–428.
- [2] Jin Huang, Harrie Oosterhuis, and Maarten de Rijke. 2022. It Is Different When Items Are Older: Debiasing Recommendations When Selection Bias and User Preferences Are Dynamic. In WSDM. 381–389.
- [3] Thorsten Joachims, Adith Swaminathan, and Tobias Schnabel. 2017. Unbiased Learning-to-Rank with Biased Feedback. In WSDM. 781–789.
- [4] Tobias Schnabel, Adith Swaminathan, Ashudeep Singh, Navin Chandak, and Thorsten Joachims. 2016. Recommendations as Treatments: Debiasing Learning and Evaluation. In ICML, Vol. 48. 1670–1679.
- [5] Longqi Yang, Yin Cui, Yuan Xuan, Chenyang Wang, Serge J. Belongie, and Deborah Estrin. 2018. Unbiased offline recommender evaluation for missing-not-atrandom implicit feedback. In RecSys. 279–287.
- [6] Wenjie Wang, Fuli Feng, Xiangnan He, Xiang Wang, and Tat-Seng Chua. 2021. Deconfounded Recommendation for Alleviating Bias Amplification. In KDD. 1717–1725.
- [7] Tianxin Wei, Fuli Feng, Jiawei Chen, Ziwei Wu, Jinfeng Yi, and Xiangnan He. 2021. Model-Agnostic Counterfactual Reasoning for Eliminating Popularity Bias in Recommender System. In KDD. 1791–1800.
- [8] Yang Zhang, Fuli Feng, Xiangnan He, Tianxin Wei, Chonggang Song, Guohui Ling, and Yongdong Zhang. 2021. Causal Intervention for Leveraging Popularity Bias in Recommendation. In SIGIR. 11–20.
- [9] Wondo Rhee, Sung Min Cho, and Bongwon Suh. 2022. Countering Popularity Bias by Regularizing Score Differences. In RecSys. 145–155.
- [10] Ziwei Zhu, Yun He, Xing Zhao, Yin Zhang, Jianling Wang, and James Caverlee. 2021. Popularity-Opportunity Bias in Collaborative Filtering. In WSDM. 85–93.
- [11] Himan Abdollahpouri, Robin Burke, and Bamshad Mobasher. 2019. Managing Popularity Bias in Recommender Systems with Personalized Re-Ranking. In FLAIRS. 413–418.
- [12] Mi Zhang and Neil Hurley. 2008. Avoiding monotony: improving the diversity of recommendation lists. In RecSys. 123–130.

# Method

## ➤ Propensity Estimation.

item popularity: 
$$Ipop_i = \frac{\sum_{u \in \mathcal{U}} \mathbb{I}(r_{ui} = 1)}{\max\_Ipop},$$

users' popularity sensitivity: 
$$\rho_{ui} = Upop_u = \frac{\sum_{i \in \Omega_u} Ipop_i}{|\Omega_u|},$$

## ➤ Unbiased Learning Objective.

$$\mathcal{L}_{UDIPS} = \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{(u,i): O_{ui}=1} \left( \frac{\alpha_u}{\rho_{ui}} \cdot \delta(\hat{r}_{ui}, r_{ui}) + (1 - \alpha_u) \cdot \delta(\hat{r}_{ui}, r_{ui}) \right),$$

where  $\alpha_u \in \{0, 1\}$  is a binary variable which is used to determine whether a user is sensitive to item popularity, and  $\rho_{ui}$  is computed by Eq.(6). Empirically, we set  $\alpha_u = 1$  when users' popularity is larger than a threshold  $\theta$ ; otherwise,  $\alpha_u = 0$ .

# Method

## ➤ Propensity Estimation.

item popularity:  $Ipop_i = \frac{\sum_{u \in \mathcal{U}} \mathbb{I}(r_{ui} = 1)}{\max\_Ipop}$ ,

users' popularity sensitivity:  $\rho_{ui} = Upop_u = \frac{\sum_{i \in \Omega_u} Ipop_i}{|\Omega_u|}$ ,

## ➤ Unbiased Learning Objective.

$$\mathcal{L}_{UDIPS} = \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{(u,i):O_{ui}=1} \left( \frac{\alpha_u}{\rho_{ui}} \cdot \delta(\hat{r}_{ui}, r_{ui}) + (1 - \alpha_u) \cdot \delta(\hat{r}_{ui}, r_{ui}) \right)$$

where  $\alpha_u \in \{0, 1\}$  is a binary variable which is used to determine whether a user is sensitive to item popularity, and  $\rho_{ui}$  is computed by Eq.(6). Empirically, we set  $\alpha_u = 1$  when users' popularity is larger than a threshold  $\theta$ ; otherwise,  $\alpha_u = 0$ .

## ➤ Unbiasedness Analysis.

$$\begin{aligned} \mathbb{E} \left[ \mathcal{L}_{UDIPS}(\hat{\mathbf{R}} | \alpha_u = 1) \right] &= \mathbb{E} \left[ \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{(u,i):O_{ui}=1} \alpha_u \cdot \frac{1}{\rho_{ui}} \cdot \delta(\hat{r}_{ui}, r_{ui}) \right] \\ &= \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \frac{\mathbb{E}[O_{ui}]}{\rho_{ui}} \cdot \delta(\hat{r}_{ui}, r_{ui}) \\ &= \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \frac{\rho_{ui}}{\rho_{ui}} \cdot \delta(\hat{r}_{ui}, r_{ui}) = \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \delta(\hat{r}_{ui}, r_{ui}). \end{aligned}$$

$$\begin{aligned} \mathbb{E} \left[ \mathcal{L}_{UDIPS}(\hat{\mathbf{R}} | \alpha_u = 0) \right] &= \mathbb{E} \left[ \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{(u,i):O_{ui}=1} (1 - \alpha_u) \cdot \delta(\hat{r}_{ui}, r_{ui}) \right] \\ &= \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \mathbb{E}(O_{ui}) \cdot \delta(\hat{r}_{ui}, r_{ui}) = \frac{1}{|\mathcal{U}||\mathcal{I}|} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \delta(\hat{r}_{ui}, r_{ui}). \end{aligned}$$

# Experiments

## ➤ Datasets

**Table 1: Statistics of the datasets, NB-Tr and UB-Tr are short for normal biased training data and unbiased training data, respectively. NB-Te and UB-Te are short for normal biased testing data and unbiased testing data, respectively.**

Dataset	#Users	#Items	#NB-Tr	#UB-Tr	#Val	#NB-Te	#UB-Te
Yahoo! R3	15.4k	1.0k	249k	5.4k	33.8k	31.2k	48.6k
Coat	290	300	5.6k	464	928	696	4.1k

## ➤ Baselines

MF, MF-IPS [ICML'16], InterD [SIGIR'22], KD\_Label [SIGIR'20], DR [ICML'19]

## ➤ Metrics

NDCG, Precision, Recall, AUC



# Experiments

Model		Yahoo! R3				Coat			
		NDCG	Presicion	Recall	AUC	NDCG	Presicion	Recall	AUC
Unbiased Test (UB-Te)	MF	0.547	0.256	0.730	0.649	0.492	0.327	0.537	0.667
	MF-IPS	0.548	0.257	0.730	0.649	0.494	0.329	0.539	0.665
	MF-UDIPS	<b>0.579</b>	<b>0.263</b>	<b>0.753</b>	<b>0.677</b>	<b>0.499</b>	<b>0.332</b>	<b>0.547</b>	<b>0.673</b>
	InterD	0.669	0.288	0.822	0.753	0.519	0.337	0.558	0.682
	InterD-UDIPS	<b>0.676</b>	<b>0.291</b>	<b>0.833</b>	<b>0.762</b>	<b>0.526</b>	<b>0.342</b>	<b>0.570</b>	<b>0.692</b>
	KD_Label	0.575	0.259	0.751	0.674	0.502	0.325	0.540	0.679
	KDLabel-UDIPS	<b>0.585</b>	<b>0.263</b>	<b>0.759</b>	<b>0.681</b>	<b>0.506</b>	<b>0.328</b>	<b>0.555</b>	<b>0.686</b>
Normal Biased Test (NB-Te)	DR	0.548	0.256	0.731	0.650	0.493	0.328	0.540	0.667
	DR-UDIPS	<b>0.552</b>	<b>0.261</b>	<b>0.749</b>	<b>0.660</b>	<b>0.504</b>	<b>0.334</b>	<b>0.563</b>	<b>0.670</b>
	MF	0.825	0.313	0.970	0.652	0.810	0.267	0.995	0.667
	MF-IPS	0.815	0.311	0.966	0.626	0.809	0.265	0.986	0.636
	MF-UDIPS	<b>0.830</b>	<b>0.314</b>	<b>0.971</b>	<b>0.661</b>	<b>0.820</b>	<b>0.270</b>	<b>0.995</b>	<b>0.660</b>
	InterD	0.837	0.316	0.973	0.673	0.830	0.271	0.994	0.669
	InterD-UDIPS	<b>0.841</b>	<b>0.319</b>	<b>0.976</b>	<b>0.683</b>	<b>0.832</b>	<b>0.274</b>	<b>0.995</b>	<b>0.671</b>
	KD_Label	0.814	0.313	0.968	0.628	0.814	0.263	0.991	0.620
	KDLabel-UDIPS	<b>0.827</b>	<b>0.316</b>	<b>0.969</b>	<b>0.655</b>	<b>0.820</b>	<b>0.268</b>	<b>0.995</b>	<b>0.640</b>
	DR	0.791	0.308	0.957	0.571	0.812	0.259	0.986	0.638
	DR-UDIPS	<b>0.825</b>	<b>0.317</b>	<b>0.970</b>	<b>0.651</b>	<b>0.823</b>	<b>0.274</b>	<b>0.991</b>	<b>0.656</b>

- UDIPS-based methods consistently outperforms existing models on UB-Te and NB-Te across two datasets.
- Compared with MF, all debiased model perform better on UB-Te but show inferior performance on the NB-Te except UDIPS-based methods and InterD, which illustrates the most debiased methods improve the debiased performance with the sacrifice of biased performance.



# Experiments

- Comparison in terms of popular sensitive/insensitive users.

		NDCG	Recall	Precision	AUC
MF-IPS	PI users	0.53435	0.69128	0.25156	0.62877
	PS users	0.56170	0.72476	0.24195	0.65501
MF-UDIPS	PI users	0.57058	0.73551	0.26484	0.66592
	<i>Gain(%)</i>	<b>6.78%</b>	<b>6.40%</b>	<b>5.28%</b>	<b>5.91%</b>
	PS users	0.60515	0.78583	0.25957	0.70340
	<i>Gain(%)</i>	<b>7.74%</b>	<b>8.43%</b>	<b>7.28%</b>	<b>7.39%</b>

- The recommendation performance of PI users and PS users are both boosted.
- The performance gain from PS users is larger than that from PI users. It indicates that our proposed method is more effective in handling under-debiasing of PS users compared with the over-debiasing of PI users.

- Impact of Hyper-Parameter.

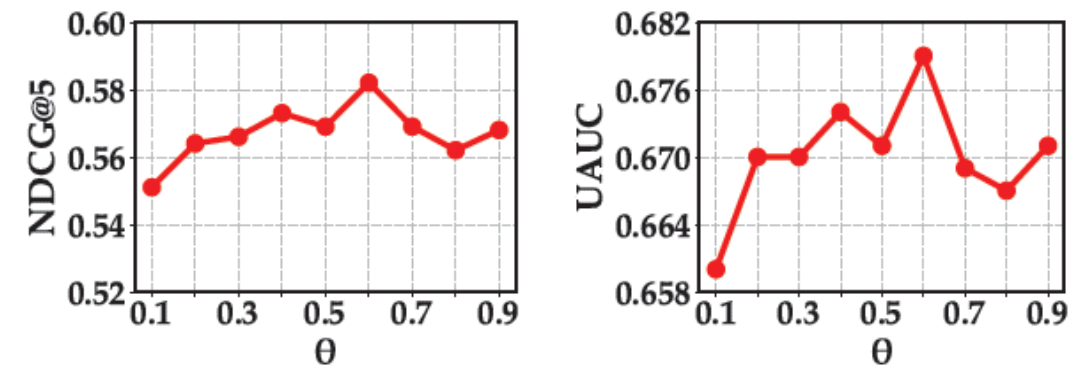


Figure 2: Impact of threshold  $\theta$  with MF-UDIPS on Yahoo! R3 dataset with the evaluation metric NDCG@5 and UAUC.

# Thank you!

